

# AIG Securities Litigation – Company Settlement

GUIDE FOR ELECTRONIC CLAIM FILERS

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## 1. Overview

Rust Consulting has standardized a format for the electronic submission of claims in securities settlements by institutions filing on behalf of multiple clients or proprietary accounts. Electronic Claim Filing is intended for institutions, brokers and nominees only. This is not used for individual claimants. All Electronic Submissions must follow the Filing Requirements outlined in sections 3, 4 and 5 of these instructions.

## 2. Security and Integrity

Security of systems and applications and confidentiality of data is of utmost importance to Rust. Rust maintains a unified compliance posture including:

- A system Certification & Accreditation under the Federal Information Security Management Act (FISMA and NIST);
- An annual SSAE 16 (formerly SAS 70) Type II audit of our data and system controls and protocols;
- Compliance with and adherence to Safe Harbor Principles; and
- Compliance with Gramm-Leach-Bliley Act (GLBA) and the Health Insurance Portability and Accountability Act (HIPAA).

## 3. Filing Requirements for Release Forms and Additional AIG Debt Securities

In addition to the instructions contained in the notice and claim form, you must comply with ALL of the following or YOUR FILE WILL BE REJECTED.

- You **must** complete and sign one copy of the Release Form. The Release Form **must** be signed by an authorized signatory and **must** state the capacity of the person signing. The signed Release Form is required and **must** be provided with your filing.
- Please also submit documents showing you have authority to file on behalf of your clients and disclose the source of the transactional data contained in the Excel file(s) you are submitting (e.g. "All data was extracted directly from the beneficial owner's proprietary database", or a similar declaration). You must provide a document attesting to the truth and accuracy of the data and the source of your data (e.g. proprietary database).
- You must submit the control form that is attached with these instructions, Appendix A, along with your Release form. Please fill in all sections on the validation form including the total number of accounts you are filing for and the total number of transactions submitted in your file.
- Your submission for the Fair Fund amounts must be in the form of the template in Appendix D. Your submission for any additional Debt Securities transactions must be in the form of the template in Appendix E.
- You must submit your Release Form to the Claims Administrator either electronically through the Rust Consulting eData Vault <https://edatavault.rustconsulting.com> or by mailing your filing to Rust Consulting at the address below:

AIG Securities Litigation – Company Settlement  
Attn: Electronic Claim Dept.  
c/o Rust Consulting, Inc.  
P.O. Box 8017  
Faribault, MN 55021 - 9417

**DO NOT SUBMIT YOUR RELEASE FORM BY EMAIL OR TO INDIVIDUALS AT RUST CONSULTING. PLEASE ONLY USE THE METHODS ABOVE TO SUBMIT YOUR ELECTRONIC FILE.**

## 4. Filing Requirements for a New Claim

In addition to the instructions contained in the Notice and claim form, you must comply with ALL of the following or YOUR FILE WILL BE REJECTED.

Please only submit a new claim form if:

1. **If you have additional transactional information related to the purchases, acquisitions or sales of AIG Securities provided in your PwC filing that you did not submit in your PwC claim and would like them considered in the calculation of your claim in the AIG Settlement, please complete and submit a new claim.**
2. **If you need to file on behalf of accounts that were not included in your PwC filing, please complete and submit a new claim on behalf of these accounts.**
  - You **must** complete and sign one copy of the Proof of Claim. The claim form **must** be signed by an authorized signatory and **must** state the capacity of the person signing. The signed claim form is required and **must** be provided with your filing.
  - Please also submit documents showing you have authority to file on behalf of your clients and disclose the source of the transactional data contained in the Excel file(s) you are submitting (e.g. "All data was extracted directly from the beneficial owner's proprietary database", or a similar declaration). You must provide a document attesting to the truth and accuracy of the data and the source of your data (e.g. proprietary database).
  - You must submit the control form that is attached with these instructions, Appendix A, along with your Proof of Claim and Release form. Please fill in all sections on the validation form including the total number of accounts you are filing for and the total number of transactions submitted in your file.
  - Your submission must be in the form of the template in Appendix B.
  - You must submit your claim to the Claims Administrator either electronically through the Rust Consulting eData Vault <https://edatavault.rustconsulting.com> or by mailing your filing to Rust Consulting at the address below:

AIG Securities Litigation – Company Settlement  
Attn: Electronic Claim Dept.  
c/o Rust Consulting, Inc.  
P.O. Box 8017  
Faribault, MN 55021 - 9417

**DO NOT SUBMIT YOUR CLAIM BY EMAIL OR TO INDIVIDUALS AT RUST CONSULTING. PLEASE ONLY USE THE METHODS ABOVE TO SUBMIT YOUR ELECTRONIC FILE.**

## 5. Additional Requirements

- **ADDITIONAL ACCOUNTS AND/OR ADDITIONAL TRANSACTIONS:** You must indicate in your spreadsheet if you are filing additional transactions for claims that were previously submitted in the PwC settlement. You must also indicate if you are filing additional accounts for the filing that was previously submitted in the PwC settlement. Please see the Electronic File Template in Appendix B, columns "AH" and "AI" for specific instructions. You can use the "Template for Electronic Claims" to file for new accounts that were not previously submitted in the PwC settlement and you will need to include the distribution amount received from the Fair Fund in column AH and populate column AI with a "Y" to

indicate that this is a new account filed for the AIG Company Settlement. If you are providing additional transactions to a claim that was previously submitted in PwC, you can include those transactions and populate column AJ with a "Y" that these are additional transactions to claim(s) submitted in PwC. In column AJ, indicate the claim number filed in PwC that the additional transactions are being added to. Please note, these additional accounts and/or transactions will only be used for the filing in the AIG Company Settlement.

- **BALANCING:** All claims must balance. For each security provided, your holdings at the beginning of the Class Period plus any of that security purchased or otherwise acquired during the Class Period **must** equal the security sold or otherwise disposed of during the Class Period plus the holdings at the end of the Class Period. A split occurred during the Class Period. As such, pre-split holdings and transactions **are not** to be adjusted to post split quantities and pricing. Our system automatically adjusts for any split that occurred during the Class Period. Please note: Reporting transactions using post-split quantities and pricing, or, reporting securities received via the split as free receives will prevent your claim from properly balancing.
- **TRANSFERS:** Securities received in or delivered out of an account should be included in your submission for balancing purposes only (**Lending shares, journal entries and/or bookkeeping transactions should not be included in your file**). Securities received in or delivered out are not eligible for the calculation of your claim unless you have additional information pertaining to the original purchase(s) or disposition(s) of those securities. For securities received, the calculation of these securities will be computed only when original purchase information has been provided, and will be calculated using the trade date and price of the original purchase(s), and not the date or value of the security at the time of transfer. Transfers must clearly be identified in your filing by listing the transaction type as "R" for receipts or "D" for deliveries. If you have information regarding the original purchase(s) or dispositions of transferred shares, you must combine your claim to show the holdings and/or purchase(s) and sale(s) of the shares that were transferred rather than the receipt or delivery.
- **ELECTRONIC CLAIM TRANSACTION LISTING REPORT:** Upon the completion of processing your file, Rust will provide you with an Electronic Claim Transaction Listing report ("DSK10 report") which will list all of your claims along with any deficiencies or ineligibilities that have been identified. If you do not receive this report or if the report that you receive is missing information, you must contact Rust immediately. No electronic files will be considered to have been properly submitted unless the Claims Administrator issues a DSK10 report listing all transactions contained in the electronic file.
- **ADDITIONAL DOCUMENTATION:** Rust Consulting may request that you provide external documentation such as trade confirmations/monthly statements to verify your claim information, even if you submitted a letter or affidavit attesting to the truth and accuracy of the information provided. If you do not provide the documentation to support your trades or holdings as requested by the date specified, your claim(s) will be rejected. Documentation provided from the same system from which your trade data originated is NOT acceptable documentation.
- Rust Consulting reserves the right to reject your electronic filing and require that you submit your claim in hardcopy with complete documentation.

## 6. General FAQ's

### 1. Why did I receive the Release Form?

- The Release Form is to be used by entities that have ALREADY submitted a Proof of Claim form ("PwC Claim" form) in connection with the previously announced settlement with defendant PricewaterhouseCoopers LLP (the "PwC Settlement"). The trading information you already provided in your PwC filing will be used to determine your claim in this Settlement with AIG. You must complete and submit a Release Form in order to recover. The Release Form must include the prior distributions, if any, that your accounts received in the prior

distribution from the Fair Fund and any supplemental transactional information requested in section 3 for AIG Debt Securities.

**2. What is the Fair Fund and how do I know how much my clients received?**

- The “Fair Fund” means the \$800 million fund deposited by AIG with the Court Registry Investment System on March 3, 2006 under the designation “SEC v. American International Group, Inc., 06 Civ. 1000 (LAP),” pursuant to the final judgment entered on February 17, 2006 by the United States District Court for the Southern District of New York against AIG. Please note, Rust was not the administrator for the Fair Fund. The administrator for the AIG Fair Fund was Epiq Systems. The website for the Fair Fund is [www.aigsettlementadministrator.com](http://www.aigsettlementadministrator.com). Their toll free number is 866-486-4809. Our understanding is that fair fund checks began to be mailed in April/May of 2009.

**3. What if I am unable to provide the Fair Fund amount that my clients received?**

- If your clients received a distribution from the Fair Fund and you do not provide the amount of the Fair Fund distribution(s), unless the information is otherwise available, your claim will be DEFICIENT; if such deficiency is not corrected, and RUST does not otherwise obtain the Fair Fund distribution data, your claim(s) will be REJECTED. If you need, Rust can work with each entity on mailing the Release Form to each of your Clients so they can submit the amount they received in the Fair Fund directly to Rust.

**4. What if I want to file additional Accounts for PwC?**

- You may file a claim in PwC; however, the filing deadline for Proof of Claim forms in the PwC Settlement was January 28, 2009. Any claim submitted after the filing deadline is considered late and is subject to Court approval in order to receive a distribution from the Net Settlement Fund. If you have new accounts that you want to file on behalf of for this AIG Settlement, you must submit a claim following the instructions in section 5 of this guide.

**5. Do I need to re-submit my filing from the PwC Settlement?**

- No, please DO NOT submit the same file that you submitted in the PwC Settlement. Instead, the transactional information in the filing submitted in the PwC Settlement will be used to calculate your claim in connection with the AIG Securities Litigation – Company Settlement. You must sign and return the Release Form on behalf of all of your clients and provide any distributions they received from the Fair Fund, and report any additional transactions you need to report for AIG Debt Securities.

**6. How do I know if I am supposed to submit a new claim for this Settlement?**

- If you have additional transactional information related to the purchases, acquisitions or sales of AIG Securities provided in your PwC filing that you did not submit in your PwC claim and would like them considered in the calculation of your claim in the AIG Settlement, please complete and submit a new claim.
- If you need to file on behalf of accounts that were not included in your PwC filing, please complete and submit a new claim on behalf of these accounts.

If you have any additional questions or need assistance regarding this settlement, please contact us via email at [info@AIGSecuritiesLitigationCompanySettlement.com](mailto:info@AIGSecuritiesLitigationCompanySettlement.com) or by phone at (507) 333-4398 or (631) 439-0065 ext 7503.

APPENDIX A  
Control Chart

**AIG Securities Litigation - Company Settlement (8284)**

**Electronic Claim Filers - Please print, complete, and return this form along with: (1) a completed Proof of Claim form as your "Umbrella Claim" for this filing, (2) a Letter of Authorization for you to file on behalf of the beneficial owner(s) included in your data file (if other than yourself), (3) a statement that discloses the source of the data you are submitting, and (4) your data file(s). If you are submitting files via the eData Vault, please complete this form and upload a PDF copy of it when you upload your data file.**

**Filer and Payment Information**

<b>Filing Entity Name:</b>			
<b>Filing Entity Type:</b>	<input type="checkbox"/> Broker/Bank/Nominee <input type="checkbox"/> Filing Service <input type="checkbox"/> Attorney <input type="checkbox"/> Other: _____		
<b>Filing Entity Contact:</b> Person able to resolve questions regarding this filing	Contact Name: _____		
	Street Address: _____ <i>(not a PO Box – must be a street address)</i>		
	City: _____		
	State/Province: _____		Postal/Zip Code: _____
	Country: _____		
	Phone Number: _____		
	Email Address: _____		
<b>Payment Address:</b> Indicate where payments should be sent	<input type="checkbox"/> Use addresses provided in the attached data file <input type="checkbox"/> Same address as above <input type="checkbox"/> Wire Transfer - ONE payment to the above named Filing Entity (please attach transfer instructions)		
<b>Beneficial Owner:</b> Who you are filing for?	Are you filing for any foreign-owned accounts? <input type="checkbox"/> Yes <input type="checkbox"/> No If so, are any from the European Union (EU)? <input type="checkbox"/> Yes <input type="checkbox"/> No Are these proprietary accounts? <input type="checkbox"/> Yes <input type="checkbox"/> No  <input type="checkbox"/> Various Beneficial Owners    or    _____ <span style="margin-left: 150px;"><i>(Single Beneficial Owner Name)</i></span>		

**Data File Information**

<b>Total Number of Distinct Account #'s:</b>		<b>Total Number of Transactions:</b>	
<b>Data File Name(s):</b>			
<b>Replacement Data:</b> Does this data replace a previous filing? If yes, please indicate the <b>reference</b> number of the previous filing.	<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>Reference number of previous filing:</b> (if applicable)	

**Additional Documents**

<b>Additional Paper Claims:</b> Are you submitting 'Paper' claims for accounts that are not included on your data file?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<b>W8 Documents:</b> Are you including any W8 tax documents?	<input type="checkbox"/> Yes <input type="checkbox"/> No
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<b>Signature:</b>		<b>Date:</b>	
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## APPENDIX B

### ELECTRONIC FILE TEMPLATE

Column	Element/Attribute	Description	Format
A	Beneficial Owner Last Name (Individual or IRA Accounts Only)	Last name of the beneficial owner. Prints on letters and/or checks. If IRA and you want the check made out to IRA, use the following format: JONES IRA	Char 50
B	First Name Beneficial Owner	First name of the beneficial owner. Prints on letters and/or checks.	Char 50
C	Last Name of Co-Owner, if applicable	The joint individual owner of the account. Last name of Co-Owner (if applicable). Prints on letters and/or checks.	Char 50
D	First Name of Co-Owner, if applicable	The joint individual owner of the account. First name of Co-Owner (if applicable). Prints on letters and/or checks.	Char 50
E	Entity Name (Corporation, Estate, Trust, etc)	Identifies the entity name, if the submitter is not an individual (e.g. if Beneficial Owner is a Company, Trust, Estate, etc.). Will print on letters and/or checks. If Beneficial Owner is a Company, Trust, Estate, etc. <b>This column should never be populated if any of the Beneficial Owner/Co-Owner fields (Columns A through D) are populated.</b>	Char 50
F	Responsible Party Name	Representative Name, if applicable (e.g. executor, custodian, trustee, administrator, nominee, etc.). Identifies a person to contact if submitter was an entity. Used for correspondence, but not included on the check instrument.	Char 50
G	Addr1	The address line 1 field is used in the mail address block for checks and/or letters.	Char 50
H	Addr2	The address line 2 field is used in the mail address block for checks and/or letters.	Char 50
I	City	The city field is used in the mail address block for checks and/or letters. (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 30
J	State	The state field is used in the mail address block for checks and/or letters. (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 2
K	Zip5	Zip5 (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 5
L	Zip4	Zip4 (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 4
M	Country	The country field is for foreign addresses and is used in the mail address block for checks and/or letters. (DO NOT USE FOR DOMESTIC ADDRESSES, i.e. U.S.A., Puerto Rico, Virgin Islands, or APO)	Char 25
N	Account Number	<b>Required</b> – Account number	Char 20
O	Taxpayer Id #	Taxpayer Social Security Number or Employer Identification Number	Char 11
P	Taxpayer ID type	Social Security Number or Employer Identification Number	Valid Values: E = EIN, S = SSN
Q	Foreign Entity Flag	If the Beneficial Owner is a foreign entity, populate this field with "Y". Otherwise use "N"	Char 1



## APPENDIX B

### ELECTRONIC FILE TEMPLATE

Column	Element/Attribute	Description	Format
R	Type of Security	Indicate the type of Security that is being reported.	Valid Values: S = Stock B = Debt Security O = Option
S	CUSIP (Security Code)	<b>Required</b> – Identifies the security for this transaction. Must be a valid CUSIP, ISIN or SEDOL (Do not use for option transactions)	<b>Refer to case specific tables in Appendix C.</b>
T	Transaction Type	The actions taken by the owner or entity during the Class Period, or holdings before and/or after the Class Period	<b>Refer to case specific tables in Appendix C.</b>
U	Trade Date	Actual date the transaction was executed on (NOT the settlement date)	mm/dd/ccyy
V	Quantity	Number of Shares for Stock, Face Amount for Bonds/Notes, Number of Contracts for Calls or Puts	Decimal (19,4)
W	Price	The share price for the purchase/sale of stock, bonds/notes, or price per contract. Shares purchased as a result of the exercise or assignment of an option <b>MUST</b> be reported at the executed strike price and <b>MUST NOT</b> include the cost of the option or any fees as a component of their price.	Decimal (19,4)
X	Net Amount	Total Amount Paid for Purchases (excluding commissions, taxes and fees); Total Amount Received for Sales (prior to any reduction caused by commissions and/or other fees); leave blank for all others.	Decimal (19,4)
Y	Result of Option (for common stock only)	Was this transaction the result of the exercise or assignment of an option, and therefore the price per share might be out of range for this trade date? If yes, populate this column with 'Y'; otherwise 'N'.	Valid Values: Y = Yes, N = No
Z	Short Sale	Is this transaction a sale to open a short position? (Ignore for option contracts)	Valid Values: Y = Yes, N = No
AA	Purchase to Cover Short Sale	Is this transaction a purchase to cover a short position? (Ignore for option contracts)	Valid Values: Y = Yes, N = No
AB	Option Type (Option Transactions Only)	Identifies the type of option transaction (for option transactions only)	Valid Values: C = CALL, P = PUT
AC	Expiration Date (Option Transactions Only)	Expiration Date (for option transactions only)	mm/dd/ccyy
AD	Strike Price (Option Transactions Only)	Strike Price (Exercise Price) (for option transactions only)	Decimal (19,4)

## APPENDIX B

### ELECTRONIC FILE TEMPLATE

Column	Element/Attribute	Description	Format
AE	Final Disposition (Option Transactions Only)	With the exception of open option contracts that are still held at the end of the Class Period, EVERY opening option transaction MUST contain the disposition of those options. Since the final disposition of option contracts still held at the end of the Class Period did not occur prior to the holding date, there should be a corresponding Unsold ("U") position for those contracts. For multiple dispositions of the same option contract transaction, the corresponding opening option transactions must be listed separately. (for option transactions only)	Valid Values: E = Exercised, X = Expired, A = Assigned, C = Closed Out (Sold Call or Re-purchased Put -- for option transactions only)
AF	Disposition Date (Option Transactions Only)	Date Expired, Exercised, Assigned, or Closed Out (Sold Call or Re-purchased Put). If the option contracts are still open/held as of the end of the Class Period, leave blank. (for option transactions only)	mm/dd/ccyy
AG	Option Ticker Symbol (Option Transactions Only)	Option symbol - Example: AIGBL	Char 5
AH	Fair Fund Amount	Required – Dollar amount of each distribution received from the Fair Fund	Char 19
AI	New Claims	Indicate if these transactions are being filed for a new claim/account.	Valid Value: N = New Claims
AJ	Additional Transactions	Indicate whether you are filing additional transactions for a previously filed PwC claim	Valid Value: A = Additional Transactions
AK	Original PwC Claim Number	<b>If applicable</b> – Provide the claim number assigned to each beneficial owner in the PwC Settlement	Char 8

## APPENDIX C CASE SPECIFIC TABLES

### Transaction Type Table:

#### Stock and Option Table

Transaction Type	Definition	Transactions per Acquisition	Acceptable Values in Quantity Column	Valid Date Range
B	Balance at the close of trading on 10/27/99	one	The B is positive for long positions and negative for short positions as of close of trading on this date	
P	Purchases/acquisitions during Class P period	multiple	Absolute Values only	10/28/99 -04/01/05, inclusive
P 2	Purchases/acquisitions during the Extended Sales period (90 day PSLRA period)	one	Absolute Values only	04/02/05 -06/29/05, inclusive
R	Transfer into this account during the Class P period	multiple	Absolute Values only	10/28/99 -04/01/05, inclusive
M AGC	AG shares received as a result of acquisition of American General	one	Absolute Values only	08/29/01
M HSB	AG shares received as a result of acquisition of HSB Group	one	Absolute Values only	11/22/00
S	Sales during Class P period	multiple	Absolute Values only	10/28/99 -06/29/05, inclusive
D	Transfer out to another account during Class P period	multiple	Absolute Values only	10/28/99 -06/29/05, inclusive
U	Unsold shares at the end of Class P period on 06/29/05	one	The U is positive for long positions and negative for short positions as of close of trading on this date	

#### Debt Security Table

Transaction Type	Definition	Transactions per Acquisition	Acceptable Values in Quantity Column	Valid Date Range
B	Balance at the close of trading on 10/27/99	one	The B is positive for long positions and negative for short positions as of close of trading on this date	
P	Purchases/acquisitions during Class P period	multiple	Absolute Values only	10/28/99 -04/01/05, inclusive

## APPENDIX C CASE SPECIFIC TABLES

R	Transfer into this account during Class Period	multiple	Absolute Values only	10/28/99 -04/01/05, inclusive
S	Sales during Class Period	multiple	Absolute Values only	10/28/99 -12/15/10, inclusive
D	Transfer out to another account during Class Period	multiple	Absolute Values only	10/28/99 -12/15/10, inclusive
U A	Unsold shares as of 04/01/05	one	The cell is positive for long positions and negative for short positions as of close of trading on this date	
U	Unsold shares as of 06/30/05	one	The cell is positive for long positions and negative for short positions as of close of trading on this date	
U 2	Unsold shares as of 12/15/10	one	The cell is positive for long positions and negative for short positions as of close of trading on this date	

### CUSIP Table:

CUSIP	Definition	Ticker symbol (if applicable)	Comments
026874107	Common Stock	AIG	

### Debt Securities

Coupon	Maturity Date	CUSIP or ISIN
Zero	9-Nov-31	CUSIP No. 026874AP 2
0.50%	15-May-07	CUSIP No. 026874AN 7
2.85%	1-Dec-05	CUSIP No. 026870 BB3
2.875%	15-May-08	CUSIP No. 026874AQ 0
2.875%	15-May-08	ISIN No. U.S.U 02687AB48
2.875%	15-May-08	CUSIP No. 026874AR 8
4.25%	15-May-13	CUSIP No. 026874AS 6
4.25%	15-May-13	ISIN No. U.S.U 02687AC21
4.25%	15-May-13	CUSIP No. 026874AT 4

## APPENDIX C CASE SPECIFIC TABLES

### Splits

The following stock splits took place during the Class period. We are providing this information for your reference only. Securities that underwent a split MUST be reported as pre-split quantity and pricing. Our system automatically adjusts for all splits that occurred during the Class period.

Split Date	Split ratio
7/28/2000	3:2 stock split

# APPENDIX D

## FAIR FUND TEMPLATE

Column	Element/Attribute	Description	Format
A	Beneficial Owner Last Name (Individual or IRA Accounts only)	Last name of the beneficial owner. Print on letters and/or checks. If IRA and you want the check made out to IRA, use the following format: J N ES IRA	Char50
B	First Name Beneficial Owner	First name of the beneficial owner. Print on letters and/or checks	Char50
C	Last Name of Co-Owner, if applicable	The joint individual owner of the account. Last name of Co-Owner (if applicable). Print on letters and/or checks	Char50
D	First Name of Co-Owner, if applicable	The joint individual owner of the account. First name of Co-Owner (if applicable). Print on letters and/or checks	Char50
E	Entity Name (Corporation, Estate, Trust, etc)	Identify the entity name, if the submitter is not an individual (e.g. if Beneficial Owner is a company, Trust, Estate, etc.) Will print on letters and/or checks. If Beneficial Owner is a company, Trust, Estate, etc. <b>This column should never be populated if any of the Beneficial Owner/Co-Owner fields (columns A through D) are populated.</b>	Char50
F	Account Number	<b>Required</b> - Account number	Char20
G	Taxpayer Id #	Taxpayer's Social Security Number or Employer Identification Number	Char11
H	Taxpayer ID type	Social Security Number or Employer Identification Number	Valid Values E = EIN, S = SSN
I	Foreign Entity Flag	If the Beneficial Owner is a foreign entity, populate this field with "Y". Otherwise use "N"	Char1
J	Fair Fund Amount	<b>Required</b> - Dollar amount of each distribution received from the Fair Fund	Char19
K	Original PwC Claim Number	<b>If applicable</b> - Provide the claim number assigned to each beneficial owner in the PwC Settlement	Char8

## APPENDIX E

### ADDITIONAL AIG DEBT SECURITIES TEMPLATE

Column	Element/Attribute	Description	Format
A	Beneficial Owner Last Name (Individual or IRA Accounts Only)	Last name of the beneficial owner. Prints on letters and/or checks. If IRA and you want the check made out to IRA, use the following format: JONES IRA	Char 50
B	First Name Beneficial Owner	First name of the beneficial owner. Prints on letters and/or checks.	Char 50
C	Last Name of Co-Owner, if applicable	The joint individual owner of the account. Last name of Co-Owner (if applicable). Prints on letters and/or checks.	Char 50
D	First Name of Co-Owner, if applicable	The joint individual owner of the account. First name of Co-Owner (if applicable). Prints on letters and/or checks.	Char 50
E	Entity Name (Corporation, Estate, Trust, etc)	Identifies the entity name, if the submitter is not an individual (e.g. if Beneficial Owner is a Company, Trust, Estate, etc.). Will print on letters and/or checks. If Beneficial Owner is a Company, Trust, Estate, etc. <b>This column should never be populated if any of the Beneficial Owner/Co-Owner fields (Columns A through D) are populated.</b>	Char 50
F	Responsible Party Name	Representative Name, if applicable (e.g. executor, custodian, trustee, administrator, nominee, etc.). Identifies a person to contact if submitter was an entity. Used for correspondence, but not included on the check instrument.	Char 50
G	Addr1	The address line 1 field is used in the mail address block for checks and/or letters.	Char 50
H	Addr2	The address line 2 field is used in the mail address block for checks and/or letters.	Char 50
I	City	The city field is used in the mail address block for checks and/or letters. (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 30
J	State	The state field is used in the mail address block for checks and/or letters. (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 2
K	Zip5	Zip5 (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 5
L	Zip4	Zip4 (DO NOT USE THIS FIELD FOR FOREIGN ADDRESSES)	Char 4
M	Country	The country field is for foreign addresses and is used in the mail address block for checks and/or letters. (DO NOT USE FOR DOMESTIC ADDRESSES, i.e. U.S.A., Puerto Rico, Virgin Islands, or APO)	Char 25
N	Account Number	<b>Required</b> – Account number	Char 20
O	Taxpayer Id #	Taxpayer Social Security Number or Employer Identification Number	Char 11
P	Taxpayer ID type	Social Security Number or Employer Identification Number	Valid Values: E = EIN, S = SSN

## APPENDIX E

### ADDITIONAL AIG DEBT SECURITIES TEMPLATE

Column	Element/Attribute	Description	Format
Q	Foreign Entity Flag	If the Beneficial Owner is a foreign entity, populate this field with "Y". Otherwise use "N"	Char 1
R	Type of Security	Indicate the type of Security that is being reported.	Valid Values: B = Debt Security
S	CUSIP (Security Code)	<b>Required</b> – Identifies the security for this transaction. Must be a valid CUSIP, ISIN or SEDOL (Do not use for option transactions)	<b>Refer to case specific tables in Appendix C.</b>
T	Transaction Type	The actions taken by the owner or entity during the Class Period, or holdings before and/or after the Class Period	<b>Refer to case specific tables in Appendix C.</b>
U	Trade Date	Actual date the transaction was executed on (NOT the settlement date)	mm/dd/ccyy
V	Quantity	Face Amount for Bonds/Notes	Decimal (19,4)
W	Price	The price for the Bonds/Notes	Decimal (19,4)
X	Net Amount	Total Amount Paid for Purchases (excluding commissions, taxes and fees); Total Amount Received for Sales (prior to any reduction caused by commissions and/or other fees); leave blank for all others.	Decimal (19,4)
Y	Original PwC Claim Number	Provide the claim number assigned to each beneficial owner in the PwC Settlement	Char 8